



	<p>The Executive General Manager Commercial Finance</p> <p>Phone: (03) 8662 8000</p> <p>Email: johnbraddon@nbnco.com.au</p> <p>Post: 360 Elizabeth Street Melbourne Victoria 3000</p> <hr/> <p>This list may be amended from time to time in writing by the Chief Executive Officer of nbn.</p>
CATA Disclosure Procedures	nbn's procedures for handling Qualifying Disclosures published on nbn's intranet and extranet.
Corporations Act	<i>Corporations Act 2001</i> (Cth).
<p>Detriment</p> <p>(see s 1317ADA, Corporations Act; s 14ZZZAA, Tax Administration Act)</p>	<p>Any of the following:</p> <ul style="list-style-type: none"> • dismissal of an employee; • injury of an employee in his or her employment; • alteration of an employee's position or duties to his or her disadvantage; • discrimination between an employee and other employees of the same employer; • harassment or intimidation of a person; • harm or injury to a person, including psychological harm; • damage to a person's property; • damage to a person's reputation; • damage to a person's business or financial position; • any other damage to a person.
<p>detrimental conduct</p> <p>(see s 1317AC, Corporations Act; s 14ZZY, Tax Administration Act.)</p>	<p>Detrimental conduct occurs where a person engages, or threatens to engage, in conduct which causes Detriment to another person. The person engaging in such conduct does so because they believe or suspect that person, or anyone else, may have or intends to make a qualifying disclosure.</p>
discloser	Any individual who makes a Qualifying Disclosure.
<p>Disclosable Matter</p> <p>(see s 1317AA, Corporations Act; s 14ZZT Tax Administration Act)</p>	<p>Information that:</p> <p>(a) concerns misconduct, or an improper state of affairs or circumstances in relation to nbn or a related body corporate;</p> <p>(b) indicates that nbn or a related body corporate or one of its or their officers of employees has engaged in conduct that constitutes an offence against, or contravention of, a provision of any of the following:</p> <ul style="list-style-type: none"> • the Corporations Act; • the <i>Australian Security and Investments Commission Act 2001</i>; • the <i>Banking Act 1959</i>;



	<ul style="list-style-type: none"> • the <i>Financial Sector (Collection of Data) Act 2001</i>; • the <i>Insurance Act 1973</i>; • the <i>Life Insurance Act 1995</i>; • the <i>National Consumer Credit Protection Act 2009</i>; • the <i>Superannuation Industry (Supervision) Act 1993</i>; • an instrument made under any of the above Acts; <p>(c) constitutes an offence against any other law of the Commonwealth that is punishable by imprisonment for a period of 12 months or more;</p> <p>(d) represents a danger to the public or the financial system;</p> <p>(e) is prescribed by the regulations for the purposes of constituting disclosable matter under the Whistleblower Regime;</p> <p>(f) concerns misconduct or an improper state of affairs or circumstances in relation to the tax affairs of nbn or an associate and that information may assist the eligible recipient to perform functions or duties in relation to the tax affairs of nbn or an associate; or</p> <p>(g) if made to the Commissioner of Taxation only, information that may assist the Commissioner to perform functions or duties under a taxation law in relation to the tax affairs of nbn or an associate.</p>
<p>Eligible Recipient</p> <p>(see s 1317AAC, Corporations Act; s 14ZZV, Tax Administration Act)</p>	<p>Each of the following in relation to nbn:</p> <ul style="list-style-type: none"> • a person authorised by nbn to receive disclosures (that is, any WPO and KPMG FairCall); • an officer, director, company secretary or senior manager of nbn or a related body corporate; • an actuary of nbn or a related body corporate; • an auditor, or a member of an audit team conducting an audit, of nbn; • a registered tax agent or BAS agent (within the meaning of the Tax Agent Services Act 2009) who provides tax agent services (within the meaning of that Act) or BAS services (within the meaning of that Act) to nbn; or • any other employee or officer (within the meaning of the Corporations Act) of nbn who has functions or duties that relate to the tax affairs (within the meaning of section 14ZZT of the Tax Administration Act) of nbn.
<p>Eligible Whistleblower</p> <p>(see s 1317AAA, Corporations Act; s 14ZZU Tax Administration Act)</p>	<p>An individual in relation to nbn if the individual is (or has been) any of the following:</p> <ul style="list-style-type: none"> • an officer of nbn (including a director or company secretary of nbn); • an employee of nbn; • a person who supplies goods or services to nbn (whether paid or unpaid) or an employee of that person; • an associate of nbn (including a director or company secretary of a related body corporate of nbn); or • a relative, dependent or spouse (or the dependent of the spouse) of any of the above individuals; or • an individual prescribed by the regulations.



External Investigator	A third party contracted service provider appointed by a WPO to conduct an investigation in relation to the Whistleblower Regime.
Identifying Information (see s 1317AAE, Corporations Act; s 14ZZW, Tax Administration Act)	Information that is likely to lead to the identification of the discloser. This will be assessed on a case by case basis, but may include: <ul style="list-style-type: none"> • name and contact details of the discloser; • any other distinguishing characteristics of the discloser (e.g job description or function); • a reference to the discloser's work stream, team or group if they are part of a small stream, team or group.
KPMG FairCall	<p>Phone: 1800 179 054</p> <p>Email: faircall@kpmg.com.au</p> <p>Online: www.faircall.kpmg.com.au</p> <p>Post: The Faircall Manager, KPMG Forensic PO Box H67, Australia Square, 1213 Sydney</p> <p>Fax: (02) 9335 7466</p> <p>KPMG FairCall is an independently monitored, external service operated by KPMG Australia to enable nbn Eligible Whistleblowers to report concerns of possible wrongdoing.</p>
nbn	nbn (ABN 86 136 533 741).
nbn Legal or nbn Legal Team	A legal practitioner employed by nbn with a current practising certificate Note: this excludes executive assistants, paralegals and other administrative staff who cannot provide legal advice.
POI or Person of Interest	A person who is the subject of a Qualifying Disclosure.
Qualifying Disclosure (see ss 1317AA, 1317AAA, 1317AAC, Corporations Act; ss 14ZZU, 14ZZT, 14ZZV Tax Administration Act)	A disclosure made: <ol style="list-style-type: none"> 1. by an Eligible Whistleblower; 2. of information that indicates that nbn or an officer or employee of nbn has engaged in conduct that constitutes Disclosable Matter; and 3. to an Eligible Recipient.
Tax Administration Act	<i>Taxation Administration Act 1953 (Cth)</i>



Whistleblower Regime	Collectively, the Corporations Act and Tax Administration Act.																								
WIO or Whistleblower Investigation Officer	An internal nbn employee who is appointed by a WPO to conduct an investigation.																								
WPO or Whistleblower Protection Officer	<p>A person who has been appointed by nbn to (among other things), respond to and manage Qualifying Disclosures under the Whistleblower Regime.</p> <p>nbn's WPOs are:</p> <table border="1"> <tr> <td>The Company Secretary</td> <td>Phone: (03) 8662 8000</td> </tr> <tr> <td></td> <td>Email: debraconnor@nbnco.com.au</td> </tr> <tr> <td></td> <td>Post: 727 Collins Street Melbourne Victoria 3000</td> </tr> <tr> <td>The Chief Customer Officer Residential</td> <td>Phone: (02) 9926 1900</td> </tr> <tr> <td></td> <td>Email: bradwhitcomb@nbnco.com.au</td> </tr> <tr> <td></td> <td>Post: Level 11, 100 Arthur Street North Sydney NSW 2060</td> </tr> <tr> <td>The Executive General Manager Supply</td> <td>Phone: (03) 8662 8000</td> </tr> <tr> <td></td> <td>Email: craigmarshall@nbnco.com.au</td> </tr> <tr> <td></td> <td>Post: 360 Elizabeth Street Melbourne Victoria 3000</td> </tr> <tr> <td>The Executive General Manager Commercial Finance</td> <td>Phone: (03) 8662 8000</td> </tr> <tr> <td></td> <td>Email: johnbraddon@nbnco.com.au</td> </tr> <tr> <td></td> <td>Post: 360 Elizabeth Street Melbourne Victoria 3000</td> </tr> </table> <p>This list may be amended from time to time in writing by the Chief Executive Officer of nbn.</p>	The Company Secretary	Phone: (03) 8662 8000		Email: debraconnor@nbnco.com.au		Post: 727 Collins Street Melbourne Victoria 3000	The Chief Customer Officer Residential	Phone: (02) 9926 1900		Email: bradwhitcomb@nbnco.com.au		Post: Level 11, 100 Arthur Street North Sydney NSW 2060	The Executive General Manager Supply	Phone: (03) 8662 8000		Email: craigmarshall@nbnco.com.au		Post: 360 Elizabeth Street Melbourne Victoria 3000	The Executive General Manager Commercial Finance	Phone: (03) 8662 8000		Email: johnbraddon@nbnco.com.au		Post: 360 Elizabeth Street Melbourne Victoria 3000
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WPO Priority List	<ol style="list-style-type: none"> 1. the Company Secretary of nbn, and if he or she is not available, is unable to act or is identified in the disclosure by name or role; 2. the Chief Customer Officer Residential, and if he or she is not available, is unable to act or is identified in the disclosure by name or role; 3. the Executive General Manager Supply, and if he or she is not available, is unable to act or is identified in the disclosure by name or role; 4. the Executive General Manager Commercial Finance. 																								